

**Acquired Immune Deficiency Syndrome (AIDS)
Drug Assistance Program (ADAP) Data Reports (ADR)**

**XML Schema Implementation Guide
Version 3.7**

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Submitted to:



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Document Version History

Version	Date	Description
1.0	6/23/2014	ADR 3.0 Release
1.1	12/12/2014	Revised to indicate whether data elements are required, to match the XML schema, and to update fields, as necessary.
1.2	12/16/2014	Added the document version number.
2.0	2/23/2016	<p>The following updates were addressed in this version:</p> <ul style="list-style-type: none"> Removed the following tables since these elements were removed during the ADR 3.0 release: <ul style="list-style-type: none"> ReportPeriodId ADAPNumber MedicationDispensingFeeFlag MedicationDispensingFeeAmount Changed the maximum allowed value for Medication Cost (item 29) from 20,000 to 100,000. Changed the document title to “ADR XML Schema Implementation Guide Release 4.0”. Applied updates to the URLs in Appendix A – Resources. Applied updates to meet HRSA guidelines for document to be 508-compliant.
2.1	4/20/2016	Removed blank pages from document.
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2.4	9/22/2017	<p>The following updates were address in this version:</p> <ul style="list-style-type: none"> Update ADR Schema version number Remove TransgenderID element Update GenderID element to include 3 new transgender code and remove transgender code
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		<p>Cd4TestDate and Cd4Count elements to capture multiple Cd4 tests information</p> <ul style="list-style-type: none"> Updated the LastViralLoadTestDate and LastViralLoadCount elements to ViralLoadTestDate and ViralLoadCount elements to capture multiple Viral Load tests information
3.1	08/18/2021	<p>The following updates were addressed in this version:</p> <ul style="list-style-type: none"> 4.3 Sample XML File Format – Expanded: Updated the expanded XML sample on Pg. 26 to replace the DCode # with NDC code for the MedicationId, within the AdrClientReportMedication node.
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3.4	01/28/2022	<p>The following update was made in this version:</p> <ul style="list-style-type: none"> Section 3.2.6 heading and description updated. Section 3.2.6.1 description column updated for 'Definition' of the data element.
3.5	03/23/2022	<p>The following updates were made in this version:</p> <ul style="list-style-type: none"> Section 3.1.7 schema was updated to match success file. Section 3.1.8 schema was updated to match success file. Section 3.2.1.1 schema was updated to match success file. Section 3.2.4.4 definition note 'ADAP related wording were removed. Section 3.2.4.5 schema was updated to match success file. Section 3.2.5.5 schema was updated to match success file.
3.6	02/23/2023	<p>The following updates were made in this version:</p> <ul style="list-style-type: none"> Update ADR Schema version number Section 3.2.4.4 schema was updated with LastEligibilityConfirmation title. Section 3.2.4.6 description of occurrence clarified so only 'Unknown' is mutually exclusive Section 3.2.6.4 MedicationDays schema is added
3.7	01/22/2026	<p>The following updates were made in this version:</p> <ul style="list-style-type: none"> Updated ADR Schema version number. Section 3.2.3.6 GenderId (formerly 3.2.3.6) has been removed. Section 3.2.3.6 SexAtBirthId (formerly 3.2.3.7) schema was updated with new category 4 = Unknown added and classified as mandatory. Section 3.2.5.2 InsuranceAssistanceType scheme was updated with new category 4 = Full or Partial Premium Payment added. Section 3.2.4.4 LastEligibilityConfirmationDate was changed from a Complex to a Simple Data Element. Collapsed and Expanded Sample XML File Formats were updated accordingly. Former "Appendix A: Resources" removed with TargetHIV links.

Table of Contents

Acquired Immune Deficiency Syndrome (AIDS) Drug Assistance Program (ADAP)	
 Data Reports (ADR).....	1
1 Introduction	1
1.1 Purpose	1
1.2 Audience	1
1.3 Structure of This Document	1
2 Overview of the ADR Client-Level Data XML File	2
2.1 Main Components of the Client-Level Data XML File	2
2.1.1 File Header	2
2.1.2 Root Element	2
2.1.3 Body Elements.....	3
3 ADR Client-Level XML Data Elements	4
3.1 Complex Elements	5
3.1.1 AdrClientReportXmlVersion	5
3.1.2 AdrClientReport	5
3.1.3 AdrClientReportRace	5
3.1.4 AdrClientReportHealthCoverage	6
3.1.5 AdrClientReportDisenrollmentReason	6
3.1.6 AdrClientReportMedication	6
3.1.7 AdrClientReportCD4Test	7
3.1.8 AdrClientReportViralLoadTest	7
3.2 Simple Elements	8
3.2.1 XML Schema Version Elements	8
3.2.1.1 <i>AdrSchemaVersion (Revised)</i>	8
3.2.1.2 <i>Vendor</i>	8
3.2.1.3 <i>VendorVersionNumber</i>	9
3.2.1.4 <i>VendorTechnicalContactName</i>	9
3.2.1.5 <i>VendorTechnicalContactEmail</i>	9

3.2.1.6	<i>VendorTechnicalContactPhone</i>	9
3.2.2	System Variables Elements	10
3.2.2.1	<i>ClientUci</i>	10
3.2.3	Client Demographics Elements.....	10
3.2.3.1	<i>EthnicityId</i>	10
3.2.3.2	<i>RaceId</i>	11
3.2.3.3	<i>AdrClientReportHispanicSubgroup</i>	11
3.2.3.4	<i>AdrClientReportAsianSubgroup</i>	11
3.2.3.5	<i>AdrClientReportNhpiSubgroup</i>	12
3.2.3.6	<i>SexAtBirthId</i> (Revised).....	12
3.2.3.7	<i>BirthYear</i>	13
3.2.3.8	<i>HivAidsStatusId</i>	13
3.2.3.9	<i>PovertyLevelPercent</i>	13
3.2.3.10	<i>MedicalInsuranceId</i>	13
3.2.4	Enrollment and Certification Elements	14
3.2.4.1	<i>NewEnrollment</i>	14
3.2.4.2	<i>ApplicationReceivedDate</i>	16
3.2.4.3	<i>ApplicationApprovalDate</i>	16
3.2.4.4	<i>LastEligibilityConfirmationDate</i> (Revised)	16
3.2.4.5	<i>EnrollmentStatusAtEndOfYearId</i>	16
3.2.4.6	<i>DisenrollmentReasonId</i>	17
3.2.5	Insurance Services Elements	18
3.2.5.1	<i>InsuranceAssistanceReceivedFlag</i>	18
3.2.5.2	<i>InsuranceAssistanceType</i> (Revised)	18
3.2.6	Medication Assistance Elements	20
3.2.6.1	<i>MedicationsDispensedFlag</i>	20
3.2.6.2	<i>MedicationId</i>	20
3.2.6.3	<i>MedicationStartDate</i>	21
3.2.6.4	<i>MedicationDays</i>	21
3.2.6.5	<i>MedicationCost</i>	21

3.2.7	Clinical Elements	22
3.2.7.1	<i>Cd4TestDate</i>	22
3.2.7.2	<i>Cd4Count</i>	22
3.2.7.3	<i>ViralLoadTestDate</i>	22
3.2.7.4	<i>ViralLoadCount</i>	23
4	ADR Client-Level Data XML File Format	23
4.1	Validation Checks to Pass before File Is Accepted by ADR Web Application	23
4.2	Sample XML File Format – Collapsed (Revised)	24
4.3	Sample XML File Format – Expanded (Revised)	24
Appendix A: Acronyms		27

1 Introduction

Grantees must submit Acquired Immune Deficiency Syndrome (AIDS) Drug Assistance Program (ADAP) Data Reports (ADR) to the Health Resources Services Administration's (HRSA) Human Immunodeficiency Virus (HIV)/AIDS Bureau (HAB) annually. To submit the ADR successfully, each grantee must complete the online section of the grantee report and create and upload one or more Extensible Markup Language (XML) files containing client-level data. The structure, sequence, values, and format of the data elements must conform to the definitions specified in this document. The client-level data XML file must then be uploaded to the ADR web application, where it is validated for conformance to the data schema and business rules. Instructions on how to upload the ADR client-level data XML files to the ADR web application are located on the [HRSA Recipient Resources webpage](#).

1.1 Purpose

The purpose of this document is to provide reference information to grantees on the allowable structure, sequence, values, and format of the ADR client-level data XML files. Grantees may use this information to be better prepared when they create their own applications and/or processes to extract the appropriate data from their data sources and convert it to the required XML format. All ADR client-level data XML files must conform to the definitions in this document.

The goal of this document is to help grantees reduce and/or avoid errors that may result when they generate and submit client-level data XML files to the ADR web application. This document includes data definitions that describe the meaning of each element in the ADR client-level data XML files. In addition, this document describes the required format of the XML file, provides examples of XML files, and includes references to the XML schema definitions that are used to validate the XML file. For grantees that are using the same application for submitting their Ryan White Services Report, the coding for similar data element values (such as demographics) for the ADR are consistent.

1.2 Audience

This document is intended for ADAP technical and/or administrative staff that must collect and report ADR client-level data elements in an XML file format to HRSA's HAB. Staff may include developers, data quality specialists, ADAP Administrators, or other individuals who are responsible for generating and submitting the ADR.

1.3 Structure of This Document

This document contains the following sections:

- **Section 1: Introduction** describes the purpose of the XML Schema Implementation Guide.

- **Section 2: Overview of the ADR Client-Level Data XML File** describes the main components of the ADR client-level data XML file.
- **Section 3: ADR Client-Level Data Elements** defines the individual client-level data elements required in the XML file.
- **Section 4: ADR Client-Level Data XML File Format** provides the validation checks that the XML file must pass before the file is accepted by the ADR web application as well as sample XML files.
- **Appendix A: Resources** contains a list of available resources, including the ADR client-level data XML schema definitions, sample XML files, and an ADR client-level data XML generation tool.
- **Appendix B: Acronyms** contains a list of acronyms used in this document and their definitions.

2 Overview of the ADR Client-Level Data XML File

As mentioned in the introduction, grantees must submit ADR client-level data to the ADR web application on an annual basis. The required file format for submitting the ADR report is XML. XML is a structured document standard for defining a file format, as well as for specifying allowed data element values, data element sequence, and the number of occurrences for data elements. XML is a platform-independent language that simplifies the process of exchanging data in a standardized way over the Internet between different applications.

2.1 Main Components of the Client-Level Data XML File

The ADR client-level data XML file consists of three components:

- 1) File Header.
- 2) Root Element.
- 3) Body Elements.

Body elements consist of both complex and simple data elements.

2.1.1 File Header

The file header is simply the first line of text in the XML file. It is static text and does not change. This first line of text contains the XML declaration—that is, the version of XML is being used, as well as what encoding method is being used. The following is an example of a File Header:

```
<?xml version="1.0" encoding="UTF-8"?>
```

2.1.2 Root Element

The Root Element consists of static text and does not change. A Root Element is required for every XML file, and it serves as “the parent” of all the other elements. For the ADR client-level data XML file, the Root Element is <CLD:ROOT>, and it appears as follows:

```
<CLD:ROOT xsi:schemaLocation="urn:adrNamespace AdrClientSchema.xsd"
```



```
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xmlns:CLD="urn:adrNamespace">
```

In this example, CLD stands for “Client-Level Data.” In addition, the <CLD:ROOT> element contains extra information—called “attributes”—about the file. Each of the attributes has a name and value. The definitions of each attribute is contained in Table 1.

Table 1 - XML Attribute Definitions

Attribute Name	Definition
xsi:schemaLocation	The location of the XML schema definition file used to validate the client-level data XML file.
xmlns:xsi	The location of the XML schema instance used to determine the base XML schema standards.
xmlns:CLD	The XML schema namespace used for custom definitions within the XML file.

2.1.3 Body Elements

The body of the ADR client-level data XML file contains all the elements under the Root Element. In the following sample, the top-level elements under the <CLD:ROOT> element have been collapsed (i.e., their child elements have been hidden) to keep the code sample short.

```
<?xml version="1.0" encoding="UTF-8"?>
<CLD:ROOT xsi:schemaLocation="urn:adrNamespaceAdrClientSchema.xsd"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance" xmlns:CLD="urn:adrNamespace">
  <AdrClientReportXmlVersion>
  <AdrClientReport>
  <AdrClientReportRace>
    </AdrClientReportRace>
  <AdrClientReportHispanicSubgroup>
    </AdrClientReportHispanicSubgroup>
  <AdrClientReportAsianSubgroup>
    </AdrClientReportAsianSubgroup>
  <AdrClientReportNhpiSubgroup>
    </AdrClientReportNhpiSubgroup>
  <AdrClientReportMedicalInsurance>
    </AdrClientReportMedicalInsurance>
  <AdrClientReportDisenrollmentReason>
    </AdrClientReportDisenrollmentReason>
  <AdrClientReportMedication>
  <Medication>
  </Medication>
  </AdrClientReportMedication>
```

```
</CLD:ROOT>
```

The body contains “simple” and “complex” elements. In XML, “simple” elements do not contain any “child” elements while “complex” elements are elements that contain other “child” elements.

The example below shows the format for a complex element.

```
<ComplexElement>
  <SimpleElement1>A valid value</SimpleElement1>
  <SimpleElement2>Another valid value</SimpleElement2>
</ComplexElement>
```

The <ComplexElement> element is “complex” because it contains other elements, whereas the <SimpleElement1> and <SimpleElement2> elements are “simple” elements because they do not contain other elements.

In the ADR client-level data XML file, the complex data elements must appear in a specific order and contain simple data elements in order to pass the validation check.

Likewise, the simple data elements must appear in a specific order, and the data they contain must conform to the specific rules defined in this data dictionary document to pass the validation check.

For more information about the other validation checks that the file must pass, please see Section 4: ADR Client-Level Data XML File Format.

3 ADR Client-Level XML Data Elements

This section includes definitions for all the data elements (both complex and simple) in the body of the ADR client-level data XML file. The definitions are presented in tables, and each table includes one or more of the following metadata:

- **Id** – Indicates the data element’s unique identifier and is used consistently across all public ADR documents.
- **Variable Name** – Indicates the name of the complex element.
- **Element Name** – Indicates the name of the element in the XML file.
- **Parent Element** – Indicates the name of the parent element.
- **Definition** – Gives a description of what the element means and/or for what it is used.
- **Required** – Indicates whether or not a value must be provided for the element when submitting the ADR. Some elements are optional and do not need values.
- **Occurrence** – Indicates how many times the element can occur in the file.
- **Allowed Values** – Describes the values that can be used, cannot be used, or must be used for a given element.
- **Schema** – Depicts the XML format for the element.

Empty or “null” data element tags are not permitted in the XML file. For example, data elements of the form <tag></tag> or <tag /> are not allowed.

3.1 Complex Elements

The complex elements in the ADR client-level data XML file are listed and described in the following sub-sections. These elements are the containers for all the simple elements defined in Section 3.2: Simple Elements. Each complex element, except for `AdrClientReportXmlVersion` contains a required attribute, `CLD_ID`, that indicates the relationship between each complex element. For example, complex elements with a value of "1" for the `CLD_ID` indicate that this set of complex elements all belong to the same client.

3.1.1 AdrClientReportXmlVersion

Field	Description
Id	Not applicable
Variable Name	<code>AdrClientReportXmlVersion</code>
Definition	Complex data element containing information about which version of the ADR XML schema is being used and which version of the vendor's application is being used to generate the ADR XML file. It includes data such as the schema version, vendor name, vendor application version, vendor phone number, etc.
Required	Yes
Occurrence	1 per file
Allowed Values	Not applicable
Schema	<code><AdrClientReportXmlVersion>...</code> <code></AdrClientReportXmlVersion></code>

3.1.2 AdrClientReport

Field	Description
Id	Not applicable
Variable Name	<code>AdrClientReport</code>
Definition	Complex data element containing non-repeating client information, such as the client's unique identifier, birth year, etc.
Required	Yes
Occurrence	1 per client
Allowed Values	Not applicable
Schema	<code><AdrClientReport >...</code> <code></AdrClientReport></code>

3.1.3 AdrClientReportRace

Field	Description
Id	Not applicable
Variable Name	<code>AdrClientReportRace</code>
Definition	Complex data element containing race Ids. Allows multiple race Ids to be reported.
Required	Yes
Occurrence	1 per client

Field	Description
Allowed Values	Not applicable
Schema	<code><AdrClientReportRace>...</code> <code></AdrClientReportRace></code>

3.1.4 AdrClientReportHealthCoverage

Field	Description
Id	Not applicable
Variable Name	AdrClientReportHealthCoverage
Definition	Complex data element containing medical insurance Ids. Allows multiple medical insurance Ids to be reported.
Required	Yes
Occurrence	1 per client
Allowed Values	Not applicable
Schema	<code><AdrClientReportHealthCoverage >...</code> <code></AdrClientReportHealthCoverage></code>

3.1.5 AdrClientReportDisenrollmentReason

Field	Description
Id	Not applicable
Variable Name	AdrClientReportDisenrollmentReason
Definition	Complex data element containing reasons for why disenrollment is being reported.
Required	Yes, only if DisenrollmentReasonId is true
Occurrence	0 to 1 per client
Allowed Values	Not applicable
Schema	<code><AdrClientReportDisenrollmentReason >...</code> <code></AdrClientReportDisenrollmentReason></code>

3.1.6 AdrClientReportMedication

Field	Description
Id	Not applicable
Variable Name	AdrClientReportMedication
Definition	Complex data element containing medication information, such as medication Id, start date, cost, etc.
Required	Yes, only if MedicationsDispensedFlag is true
Occurrence	0 to N per client
Allowed Values	Not applicable
Schema	<code><AdrClientReportMedication >...</code> <code></AdrClientReportMedication></code>

3.1.7 AdrClientReportCD4Test

Field	Description
Id	Not applicable
Variable Name	AdrClientReportCD4Test
Definition	Complex data element containing CD4 test dates and test counts.
Required	Yes
Occurrence	0 to N per client
Allowed Values	Not applicable
Schema	<code><AdrClientReportCD4Test >...</code> <code></AdrClientReportCD4Test></code>

3.1.8 AdrClientReportViralLoadTest

Field	Description
Id	Not applicable
Variable Name	AdrClientReportViralLoad
Definition	Complex data element containing Viral Load test dates and test counts.
Required	Yes
Occurrence	0 to N per client
Allowed Values	Not applicable
Schema	<code><AdrClientReportViralLoadTest >...</code> <code></AdrClientReportViralLoadTest></code>

3.2 Simple Elements

The simple data elements are categorized under the following seven logical “groupings” or sub-sections:

- XML Schema Version Elements
- System Variables Elements
- Demographic Elements
- Enrollment and Certification Elements
- Insurance Services Elements
- Drug and Drug Expenditure Elements
- Clinical Elements

The purpose of these sub-sections or groupings is to further organize the elements to make them easier to find in the dictionary as well as the XML file.

Important: Some of the data elements are not required. If no data for a particular client is being provided for an element, then remove that element entirely from the client’s record (i.e., remove the data element’s start tag, end tag, and value.)

3.2.1 XML Schema Version Elements

The following XML Schema Version elements are designed to capture data about which version of the ADR XML schema is being used.

3.2.1.1 *AdrSchemaVersion (Revised)*

Field	Description
Id	XV1
Element Name	AdrSchemaVersion
Parent Element	AdrClientReportXmlVersion
Definition	The currently supported ADR XML schema version.
Allowed Values	3.4.0
Required	Yes
Occurrence	1 per file
Schema	<AdrSchemaVersion>3.4.0</AdrSchemaVersion>

3.2.1.2 *Vendor*

Field	Description
Id	XV2
Element Name	Vendor
Parent Element	AdrClientReportXmlVersion
Definition	The name of your application that is used to generate the ADR XML file.
Allowed Values	Text from 1 to 150 characters excluding special characters, such as < and >.
Required	Yes
Occurrence	1 per file
Schema	<Vendor>Rx-REX</Vendor>

3.2.1.3 VendorVersionNumber

Field	Description
Id	XV3
Element Name	VendorVersionNumber
Parent Element	AdrClientReportXmlVersion
Definition	The version of your application that is used to generate the ADR XML file.
Allowed Values	Text from 1 to 150 characters excluding special characters, such as < and >.
Required	Yes
Occurrence	1 per file
Schema	<code><VendorVersionNumber>1.0.2.0</VendorVersionNumber></code>

3.2.1.4 VendorTechnicalContactName

Field	Description
Id	XV4
Element Name	VendorTechnicalContactName
Parent Element	AdrClientReportXmlVersion
Definition	The name of the technical contact responsible for maintaining your application that is used to generate the ADR XML file.
Allowed Values	Text from 1 to 150 characters excluding special characters, such as, < and >.
Required	Yes
Occurrence	1 per file
Schema	<code><VendorTechnicalContactName>John, Doe</VendorTechnicalContactName></code>

3.2.1.5 VendorTechnicalContactEmail

Field	Description
Id	XV5
Element Name	VendorTechnicalContactEmail
Parent Element	AdrClientReportXmlVersion
Definition	The email address of the technical contact responsible for maintaining your application that is used to generate the ADR XML file.
Allowed Values	The technical contact email address must be a valid email address no greater than 150 characters in length.
Required	Yes
Occurrence	1 per file
Schema	<code><VendorTechnicalContactEmail>JohnDoe@Somewhere.com</VendorTechnicalContactEmail></code>

3.2.1.6 VendorTechnicalContactPhone

Field	Description
Id	XV6
Element Name	VendorTechnicalContactPhone
Parent Element	AdrClientReportXmlVersion
Definition	The telephone number of the technical contact responsible for maintaining your application that is used to generate the ADR XML file.

Field	Description
Allowed Values	Must be a valid telephone number in the format 999,999,9999 [x999999], where the extension is optional, but there must be a space before the "x".
Required	Yes
Occurrence	1 per file
Schema	<code><VendorTechnicalContactPhone>123,456,789</VendorTechnicalContactPhone></code>

3.2.2 System Variables Elements

The following System Variables XML elements are designed to capture unique identifying information for each client.

3.2.2.1 ClientUci

Field	Description
Id	2
Element Name	ClientUci
Parent Element	AdrClientReport
Definition	The encrypted, unique client identifier generated by the HAB UCI generation utilities.
Allowed Values	A 40-character upper-cased, hexadecimal string plus a single character in the range A-Z.
Required	Yes
Occurrence	1 per client
Schema	<code><ClientUci>AEC5C1142DE217CD1FA5CBEDB99ED2E265E8E4F8U</ClientUci></code>

3.2.3 Client Demographics Elements

The following Clinical Demographics XML elements are designed to capture data about the socio-demographic characteristics of all clients enrolled in the ADAP, whether or not they received services.

3.2.3.1 EthnicityId

Field	Description
Id	4
Element Name	EthnicityId
Parent Element	AdrClientReport
Definition	The client's ethnicity.
Allowed Values	A valid ethnicity identifier from the EthnicityLkup table: 1 = Hispanic 2 = Non-Hispanic
Required	No
Occurrence	1 per client
Schema	<code><EthnicityId>2</EthnicityId></code>

3.2.3.2 RaceId

Field	Description
Id	5
Element Name	RaceId
Parent Element	AdrClientReportRace
Definition	The client's race.
Allowed Values	A valid race identifier from the RaceLkup table: 1 = White 2 = Black or African American 3 = Asian 4 = Native Hawaiian/Pacific Islander 5 = American Indian or Alaskan Native
Required	Yes
Occurrence	1 to 5 per client
Schema	<RaceId>1</RaceId>

3.2.3.3 AdrClientReportHispanicSubgroup

Field	Description
Id	68
Element Name	AdrClientReportHispanicSubgroup
Parent Element	AdrClientReport
Definition	Value indicating the client's Hispanic/Latino breakdown.
Allowed Values	A valid Hispanic Subgroup identifier from the HispanicSubgroupLkup table: 1 = Mexican, Mexican American, or Chicano/a 2 = Puerto Rican 3 = Cuban 4 = Another Hispanic, Latino/a, or Spanish origin
Required	Yes, only if the client's Ethnicity Id is Hispanic
Occurrence	1 – 4 per client
Schema	<AdrClientReportHispanicSubgroup> <SubgroupId>3</SubgroupId> <SubgroupId>1</SubgroupId> </AdrClientReportHispanicSubgroup>

3.2.3.4 AdrClientReportAsianSubgroup

Field	Description
Id	69
Element Name	AdrClientReportAsianSubgroup
Parent Element	AdrClientReport
Definition	Value indicating the client's Asian breakdown.
Allowed Values	A valid Asian Subgroup identifier from the AsianSubgroupLkup table:

Field	Description
	1 = Asian Indian 2 = Chinese 3 = Filipino 4 = Japanese 5 = Korean 6 = Vietnamese 7 = Other Asian
Required	Yes, only if the client's RaceId is Asian
Occurrence	1 – 7 per client
Schema	<pre> <AdrClientReportAsianSubgroup> <SubgroupId>3</SubgroupId> <SubgroupId>1</SubgroupId> </AdrClientReportAsianSubgroup> </pre>

3.2.3.5 AdrClientReportNhpiSubgroup

Field	Description
Id	70
Element Name	AdrClientReportNhpiSubgroup
Parent Element	AdrClientReport
Definition	Value indicating the client's Native Hawaiian or Pacific Islander breakdown.
Allowed Values	A valid NHPI Subgroup identifier from the NhpiSubgroupLkup table: 1 = Native Hawaiian 2 = Guamanian or Chamorro 3 = Samoan 4 = Other Pacific Islander
Required	Yes, only if the client's RaceId is Native Hawaiian/Pacific Islander
Occurrence	1 – 4 per client
Schema	<pre> <AdrClientReportNhpiSubgroup> <SubgroupId>4</SubgroupId> <SubgroupId>1</SubgroupId> </AdrClientReportNhpiSubgroup> </pre>

3.2.3.6 SexAtBirthId (Revised)

Field	Description
Id	71
Element Name	SexAtBirthId
Parent Element	AdrClientReport
Definition	The biological sex assigned to the client at birth. This is the variable that is used for the encrypted unique client identifier (eUCI). Note: Refer the eUCI Application User Guide for additional details.
Allowed Values	A valid sex at birth identifier from the SexAtBirthLkup table: 1 = Male 2 = Female 4 = Unknown Note: Unknown is identified using 9 when generating the encrypted, unique client identifier (eUCI).

Required	Yes
Occurrence	1 per client
Schema	<code><SexAtBirthId>1</SexAtBirthId></code>

3.2.3.7 BirthYear

Field	Description
Id	9
Element Name	BirthYear
Parent Element	AdClientReport
Definition	The year in which the client was born.
Allowed Values	Four digit years that cannot be greater than the reporting year.
Required	Yes
Occurrence	1 per client
Schema	<code><BirthYear>1900</BirthYear></code>

3.2.3.8 HivAidsStatusId

Field	Description
Id	10
Element Name	HivAidsStatusId
Parent Element	AdClientReport
Definition	Client's HIV/AIDS status at the end of the reporting period.
Allowed Values	A valid HIV/AIDS status identifier from the HivAidsStatusLkup table: 2 = HIV-Positive, not AIDS 3 = HIV-Positive, AIDS status unknown 4 = CDC-defined AIDS 7 = HIV indeterminate (infants less than 2 years only)
Required	No
Occurrence	1 per client
Schema	<code><HivAidsStatusId>4</HivAidsStatusId></code>

3.2.3.9 PovertyLevelPercent

Field	Description
Id	11
Element Name	PovertyLevelPercent
Parent Element	AdClientReport
Definition	Client's annual household income as a percent of the Federal Poverty Level (FPL) at the end of the reporting period.
Allowed Values	An integer value between 0 and 9999. Do not include percentage signs or commas.
Required	No
Occurrence	1 per client
Schema	<code><PovertyLevelPercent>10</PovertyLevelPercent></code>

3.2.3.10 MedicalInsuranceId

Field	Description
Id	13
Element Name	MedicalInsuranceId

Parent Element	AdrClientReportHealthCoverage
Definition	Value indicating all sources of the client's health insurance during the reporting period.
Allowed Values	A valid medical insurance identifier from the MedicalInsuranceLkup table: 10 = Private – Employer 11 = Private – Individual 8 = Medicare Part A/B 9 = Medicare Part D 12 = Medicaid, CHIP, or other public plan 13 = VA, Tricare, or other military health care 14 = IHS 15 = Other Plan 16 = No Insurance/uninsured 17 = Medicare Part C 18 = High Risk Insurance 19 = Association Plan
Field	Description
Required	Yes
Occurrence	1 to 11 per client
Schema	<MedicalInsuranceId>11</MedicalInsuranceId>

3.2.4 Enrollment and Certification Elements

The following Enrollment and Certification elements capture client enrollment patterns and certification processes.

3.2.4.1 NewEnrollment

Field	Description
Id	14
Element Name	NewEnrollment
Parent Element	AdrClientReport
Definition	<p>Value indicating if the client is newly enrolled. Newly enrolled clients in ADAP for this reporting period meet <u>all</u> the following criteria: applied to ADAP for the first time ever; and met the financial and medical eligibility criteria of the ADAP during the period for which you are reporting data.</p> <p>Examples of clients who should <u>not</u> be included in this number are the following:</p> <ul style="list-style-type: none"> • Clients who have been recertified as eligible. • Clients who have been re-enrolled after a period of having been decertified/disenrolled. • Clients who have moved out of the state and then returned. • Clients who moved on and off ADAP because of fluctuations in eligibility for a Medicaid/Medically Needy program, based on whether they met spend-down requirements. <p>An existing ADAP client is a client who met the following criteria:</p> <ul style="list-style-type: none"> • Enrolled in ADAP in a previous reporting period. • Continues to be enrolled in the current reporting period, regardless of whether they used ADAP services in either reporting period.

	<ul style="list-style-type: none">Note: <i>An individual enrolled in ADAP (new or existing client) may or may not use services. Use of services is not required to be an enrolled client.</i>
Allowed Values	0 for No or 1 for Yes
Required	No
Occurrence	1 per client
Schema	<code><NewEnrollment>0</NewEnrollment></code>

3.2.4.2 ApplicationReceivedDate

Field	Description
Id	15
Element Name	ApplicationReceivedDate
Parent Element	AdrClientReport
Definition	The date that the completed application was received by the ADAP.
Allowed Values	A valid date on or before the last date of the reporting period in the format mm,dd,yyyy.
Required	Yes, only if client is reported as newly enrolled
Occurrence	0 for existing clients and 1 for newly enrolled clients
Schema	<ApplicationReceivedDate>10,29,2014</ApplicationReceivedDate>

3.2.4.3 ApplicationApprovalDate

Field	Description
Id	16
Element Name	ApplicationApprovalDate
Parent Element	AdrClientReport
Definition	The date that the client was approved to begin to receive ADAP services. This is when the client was first enrolled in the ADAP.
Allowed Values	A valid date on or before the last date of the reporting period in the format mm,dd,yyyy.
Required	Yes, only if client is reported as newly enrolled
Occurrence	0 for existing clients and 1 for newly enrolled clients
Schema	<ApplicationApprovalDate>03,27,2014</ApplicationApprovalDate>

3.2.4.4 LastEligibilityConfirmationDate (Revised)

Field	Description
Id	17
Element Name	LastEligibilityConfirmationDate
Parent Element	AdrClientReport
Definition	The last date on which the client was determined to be eligible to continue to receive ADAP services
Allowed Values	A valid date on or before the last date of the reporting period in the format mm,dd,yyyy.
Required	No
Occurrence	Up to 1 per client
Schema	<LastEligibilityConfirmationDate><10,29,2014</LastEligibilityConfirmationDate >

3.2.4.5 EnrollmentStatusAtEndOfYearId

Field	Description
Id	18
Element Name	EnrollmentStatusAtEndOfYearId
Parent Element	AdrClientReport
Definition	The status of the individual in the ADAP at the end of the reporting period.

Allowed Values	A valid enrollment status identifier from the EnrollmentStatusLkup table: 8 = Enrolled, receiving services 9 = Enrolled, on waiting list 10 = Enrolled services not requested 11 = Disenrolled
Required	No
Occurrence	1 per client
Schema	<code><EnrollmentStatusAtEndOfYearId>11</EnrollmentStatusAtEndOfYearId></code>

3.2.4.6 DisenrollmentReasonId

Field	Description
Id	19
Element Name	DisenrollmentReasonId
Parent Element	AdClientReportDisenrollmentReason
Definition	The reasons the client was disenrolled or discharged during the reporting period.
Allowed Values	A valid disenrollment reason identifier from the DisenrollmentReasonLkup table: 9 = Program eligibility criteria changed, client no longer eligible 10 = Client's eligibility changed, client no longer meets eligibility criteria 4 = Did not recertify 5 = Did not fill prescription as required by program 6 = Deceased 7 = Dropped out, no reason given 12 = Other 13 = Unknown
Required	Yes, only if the client's enrollment status Id is reported as disenrolled
Occurrence	1 to 6 per client, where Unknown is mutually exclusive
Schema	<code><DisenrollmentReasonId>6</DisenrollmentReasonId></code>

3.2.5 Insurance Services Elements

The following Insurance Services elements capture data about ADAP-funded insurance assistance services and expenditures. ADAP-funded insurance assistance includes premiums, co-payments (i.e., co-pays), and deductibles. Co-pays and deductibles for medications should be reported through these elements.

3.2.5.1 InsuranceAssistanceReceivedFlag

Field	Description
Id	20
Element Name	InsuranceAssistanceReceivedFlag
Parent Element	AdrClientReport
Definition	A value indicating if the client received ADAP-funded insurance assistance during the reporting period, including Medicare Part D. This includes premiums, deductibles, and co-payments for which ADAP funds were used.
Allowed Values	0 for No or 1 for Yes.
Required	No
Occurrence	1 per client
Schema	<code><InsuranceAssistanceReceivedFlag>1</InsuranceAssistanceReceivedFlag></code>

3.2.5.2 InsuranceAssistanceType (Revised)

Field	Description
Id	67
Element Name	InsuranceAssistanceTypeId
Parent Element	AdrInsuranceAssistanceReceived
Definition	The type of insurance service(s) that the client received during the reporting period.
Allowed Values	A valid insurance assistance identifier from the InsuranceAssistanceTypeLkup table: 3 = Medication Co-pay/deductible including Medicare Part D co-Insurance, co-payment, or donut hole coverage. 4 = Full or Partial Premium Payment
Required	Yes, if client indicated that insurance assistance was received
Occurrence	1 to 2 per client
Schema	<code><InsuranceAssistanceTypeId>1</InsuranceAssistanceTypeId></code>

3.2.5.3 InsurancePremiumAmount

Field	Description
Id	21
Element Name	InsurancePremiumAmount
Parent Element	AdrClientReport
Definition	The total amount of insurance premium paid on behalf of the client. This pertains to any premium paid during the reporting period, including Medicare Part D, regardless of the time frame that it covers (i.e., if it extends outside the reporting period).
Allowed Values	An integer value between 0 and 100,000. Do not include dollar signs or commas.
Required	Yes, only if the client received insurance assistance during the reporting period.
Occurrence	1 if client received insurance assistance, otherwise 0
Schema	<code><InsurancePremiumAmount>100</InsurancePremiumAmount></code>

3.2.5.4 InsurancePremiumMonthCount

Field	Description
Id	22
Element Name	InsurancePremiumMonthCount
Parent Element	AdrClientReport
Definition	The total amount of months of coverage for which insurance premium was paid. Report all months even if they fall outside the reporting period.
Allowed Values	An integer value between 0 and 15
Required	Yes, only if the client received insurance assistance during the reporting period.
Occurrence	1 if client received insurance assistance, otherwise 0
Schema	<code><InsurancePremiumMonthCount>2</InsurancePremiumMonthCount></code>

3.2.5.5 MedicationCopayOrDeductibleAmount

Field	Description
Id	23
Element Name	MedicationCopayOrDeductibleAmount
Parent Element	AdrClientReport
Definition	The total amount of insurance deductibles and co-pays paid on behalf of the client, including Medicare Part D. The amount reported should be based on the date that the deductible or co-pay was paid.
Allowed Values	An integer value between 0 and 100,000. Do not include dollar signs or commas.
Required	Yes, only if the client received insurance assistance during the reporting period
Occurrence	1 if client received insurance assistance, otherwise 0
Schema	<code><MedicationCopayOrDeductibleAmount>0</MedicationCopayOrDeductibleAmount></code>

3.2.6 Medication Assistance Elements

The purpose of the Medication Assistance Services XML elements is to describe ALL medications (i.e., ARVs, Hepatitis B, Hepatitis C, and A1-OI medications, and other medications) that your ADAP pays for in full and that are dispensed to clients during the reporting period (i.e., not clients for whom only the co-pay or deductible was paid). These elements also include the total expenditures for those medications. Report ALL medications paid in full by ADAP and dispensed to the client during the reporting period.

3.2.6.1 MedicationsDispensedFlag

Field	Description
Id	25
Element Name	MedicationsDispensedFlag
Parent Element	AdClientReport
Definition	A value indicating if medications paid in full by ADAP were dispensed to the client during the reporting period (i.e., not clients for whom only the co-pay or deductible was paid). Report ALL medications that was paid for in full with ADAP funds.
Allowed Values	0 for No or 1 for Yes
Required	No
Occurrence	1 per client
Schema	<MedicationsDispensedFlag>1</MedicationsDispensedFlag>

3.2.6.2 MedicationId

Field	Description
Id	26
Element Name	MedicationId
Parent Element	AdClientReportMedication Medication
Definition	The dispensed medication 11 digit (13 digits including “-”) NDC code dispensed to the client during the reporting period.
Allowed Values	A valid NDC code from the MedicationLkup table in the format #####-####-##.
Required	Yes, if medications were dispensed to this client during the reporting period, otherwise No
Occurrence	1 or more if medications were dispensed to this client during the reporting period, otherwise 0
Schema	<MedicationId>42708-0054-30</MedicationId>

3.2.6.3 MedicationStartDate

Field	Description
Id	27
Element Name	MedicationStartDate
Parent Element	AdrClientReportMedication Medication
Definition	The dispense date for the medication dispensed to the client during the reporting period.
Allowed Values	A valid dispense date during the reporting period for each instance the medication was given to the client in the format mm,dd,yyyy.
Required	Yes, if medications were dispensed to this client during the reporting period, otherwise No
Occurrence	1 or more if medications were dispensed to this client during the reporting period, otherwise 0
Schema	<code><MedicationStartDate>10,15,2012</MedicationStartDate></code>

3.2.6.4 MedicationDays

Field	Description
Id	28
Element Name	MedicationDays
Parent Element	AdrClientReportMedication
Definition	The number of days for which the medication was dispensed.
Allowed Values	An integer value between 1 and 360 is allowed.
Required	Yes, if medications were dispensed to this client during the reporting period, otherwise No
Occurrence	1 or more if medications were dispensed to this client during the reporting period, otherwise 0
Schema	<code><MedicationDays>30</MedicationDays></code>

3.2.6.5 MedicationCost

Field	Description
Id	29
Element Name	MedicationCost
Parent Element	AdrClientReportMedication
Definition	Indicate the cost of each ADAP-funded medication listed in Item 26 that was dispensed to the client during the reporting period. Cost should be reported per medication per date dispensed. Include the amount paid for each prescription that is dispensed, even if the medication prescription period extended beyond the reporting period.
Allowed Values	An integer amount, rounded to the nearest dollar, between 0 and 100,000. Do not include dollar signs, commas, or cents.
Required	Yes, if medications were dispensed to this client during the reporting period, otherwise No
Occurrence	1 or more if medications were dispensed to this client during the reporting period, otherwise 0
Schema	<code><MedicationCost>221</MedicationCost></code>

3.2.7 Clinical Elements

The following Clinical Elements describe the clinical data that should be reported for all enrolled clients. These elements should not be limited to only those receiving medication or insurance services. All clients receiving ADAP-funded medications should have at least one CD4 test result and one viral load reported during the 12-month reporting period.

3.2.7.1 Cd4TestDate

Field	Description
Id	32
Element Name	Cd4TestDate
Parent Element	AdrClientReportCD4Test
Definition	Value indicating all the client's CD4 test dates during the reporting period.
Allowed Values	A valid date during the reporting period in the format mm,dd,yyyy.
Required	Yes
Occurrence	0 to N per client
Schema	<Cd4TestDate>10,25,2014</Cd4TestDate>

3.2.7.2 Cd4Count

Field	Description
Id	33
Element Name	Cd4Count
Parent Element	AdrClientReportCD4Test
Definition	Value indicating all the client's CD4 test count during the reporting period.
Allowed Values	A valid integer value between 0 and 5000 (cells/mm3). Do not include commas.
Required	Yes
Occurrence	0 to N per client
Schema	<Cd4Count>332</Cd4Count>

3.2.7.3 ViralLoadTestDate

Field	Description
Id	34
Element Name	ViralLoadTestDate
Parent Element	AdrClientReportViralLoadTest
Definition	Value indicating all the client's viral load test dates during the reporting period.
Allowed Values	A valid date during the reporting period in the format mm,dd,yyyy.
Required	Yes
Occurrence	0 to N per client
Schema	<ViralLoadTestDate>04,25,2014</ViralLoadTestDate>

3.2.7.4 ViralLoadCount

Field	Description
Id	35
Element Name	ViralLoadCount
Parent Element	AdrClientReportViralLoadTest
Definition	Value indicating all the client's viral load during the reporting period.
Allowed Values	A valid integer value between 0 and 500,000,000 (copies/mL). Do not include commas. For clients who are undetectable, report the lower test limit for the viral load count, if available, otherwise report 0.
Required	Yes
Occurrence	0 to N per client
Schema	<code><ViralLoadCount>47</ViralLoadCount></code>

4 ADR Client-Level Data XML File Format

The ADR client-level data XML file structure and content is defined through a set of XML Schema Definition (XSD) files. The XSD files are used to validate the ADR client-level data XML files before they can be loaded into the ADR web application. Once loaded, further checks are performed by the ADR web application.

4.1 Validation Checks to Pass before File Is Accepted by ADR Web Application

The following validation checks must be satisfied before an ADR client-level data XML file will be accepted by the ADR web application:

- The XML file must have the .xml extension.
- The XML file must conform to the XML Schema Definition files.
- One and only one set of records per client is allowed in a single XML file.
 - An encrypted unique client identifier (ClientUci) may not be repeated within the same XML file.
 - A client is uniquely identified by their encrypted, Unique Client Identifier (eUCI). This value is represented in the ADR client-level data XML file by the ClientUci data element within the AdrClientReport complex element. The ClientUci value is an upper-cased, 40 character, hexadecimal value (0-9, A-F) followed by a single suffix from A through Z, which is used to further identify clients that may share the same base, 40 character encrypted UCI. The CLD_ID XML attribute is used to link the parent client record with its child records. The CLD_ID can be any integer value from 1 through 100000, but must be the same value for a single client.
- The XML complex data elements must appear in the specified order within the file. See Section 4.2: Sample XML File Format – Collapsed for an example of the sequencing required.
- The XML simple data elements must appear in the specified order within each complex data element. See Section 4.3: Sample XML File Format – Expanded for an example of the sequencing required.

- The XML simple data elements must conform to the definitions appearing in this document. Required fields must be reported and values must be valid and match the documented format, if defined.
- Empty or “null” data element tags are not permitted in the XML file (i.e., Data elements of the form <tag></tag> or <tag /> are not allowed.)

Important: Some of the data elements are not required. If no data for a particular client is being provided for an element, then remove that element entirely from the client’s record (i.e., remove the data element’s start tag, end tag, and value.)

4.2 Sample XML File Format – Collapsed (Revised)

The following sample XML displays the complex data elements collapsed to show the required sequence of the complex elements.

Note: The **CLD_ID** attribute name below indicates a unique Id for each client report and associated data such as race and medical insurance.

```
<?xml version="1.0" encoding="UTF-8"?>
<CLD:ROOT xmlns:CLD="urn:adrNamespace" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
  <AdrClientReportXmVersion>...</AdrClientReportXmVersion>

  <AdrClientReport>
    AdrClientReportRace>...</AdrClientReportRace>
    <AdrClientReportHispanicSubgroup>...</AdrClientReportHispanicSubgroup>
    <AdrClientReportAsianSubgroup>...</AdrClientReportAsianSubgroup>
    <AdrClientReportNhpiSubgroup>...</AdrClientReportNhpiSubgroup>
    <AdrClientReportHealthCoverage>...</AdrClientReportHealthCoverage>
    <AdrClientReportDisenrollmentReason>...</AdrClientReportDisenrollmentReason>
    <AdrClientReportMedication>
      <Medication>...</Medication>
    </AdrClientReportMedication>
    <AdrClientReportCd4Test>
      <Cd4Test>...</Cd4Test>
    </AdrClientReportCd4Test>
    <AdrClientReportViralLoadTest>
      <ViralLoadTest>...</ViralLoadTest>
    </AdrClientReportViralLoadTest>
    <AdrInsuranceAssistanceReceived>...</AdrInsuranceAssistanceReceived>
  </AdrClientReport>
</CLD:ROOT>
```

4.3 Sample XML File Format – Expanded (Revised)

The following example shows a sample XML file with the complex data elements expanded in order to show the required sequence of the simple elements that are organized within them. Please note that this data are solely used as an example and represent the structure, sequence, values, and format of the data elements.

```
<CLD:ROOT xmlns:CLD="urn:adrNamespace" xmlns:xsi="http://www.w3.org/2001/XMLSchema-
instance">
```

```
  <AdrcClientReportXmlVersion>
    <AdrcSchemaVersion>3.4.0</AdrcSchemaVersion>
    <Vendor>TRAX</Vendor>
    <VendorVersionNumber>5.3</VendorVersionNumber>
    <VendorTechnicalContactName>John, Doe</VendorTechnicalContactName>
    <VendorTechnicalContactEmail>JohnDoe@Somewhere.com</VendorTechnicalContactEmail>
    <VendorTechnicalContactPhone>123,456,7890</VendorTechnicalContactPhone>
  </AdrcClientReportXmlVersion>
  <AdrcClientReport>
    <ClientUci>AEC5C1142DE217CD1FA5CBEDB99ED2E265E8E4F8U</ClientUci>
    <EthnicityId>1</EthnicityId>
    <BirthYear>1900</BirthYear>
    <HivAidsStatusId>4</HivAidsStatusId>
    <PovertyLevelPercent>10</PovertyLevelPercent>
    <NewEnrollment>0</NewEnrollment>
    <ApplicationReceivedDate>03,29,2014</ApplicationReceivedDate>
    <ApplicationApprovalDate>04,27,2014</ApplicationApprovalDate>
    <LastEligibilityConfirmationDate>10,29,2014</LastEligibilityConfirmationDate>
    <EnrollmentStatusAtEndOfYearId>11</EnrollmentStatusAtEndOfYearId>
    <InsuranceAssistanceReceivedFlag>1</InsuranceAssistanceReceivedFlag>
    <InsurancePremiumAmount>0</InsurancePremiumAmount>
    <InsurancePremiumMonthCount>2</InsurancePremiumMonthCount>
    <MedicationCopayOrDeductibleAmount>0</MedicationCopayOrDeductibleAmount>
    <MedicationsDispensedFlag>1</MedicationsDispensedFlag>
    <SexAtBirthId>1</SexAtBirthId>
    <AdrcClientReportRace>
      <RaceId>1</RaceId>
      <RaceId>3</RaceId>
    </AdrcClientReportRace>
    <AdrcClientReportHispanicSubgroup>
      <SubgroupId>3</SubgroupId>
      <SubgroupId>1</SubgroupId>
    </AdrcClientReportHispanicSubgroup>
    <AdrcClientReportAsianSubgroup>
      <SubgroupId>3</SubgroupId>
      <SubgroupId>1</SubgroupId>
    </AdrcClientReportAsianSubgroup>
    <AdrcClientReportNhpiSubgroup>
      <SubgroupId>4</SubgroupId>
      <SubgroupId>1</SubgroupId>
    </AdrcClientReportNhpiSubgroup>
    <AdrcClientReportHealthCoverage>
      <MedicalInsuranceId>11</MedicalInsuranceId>
      <MedicalInsuranceId>13</MedicalInsuranceId>
    </AdrcClientReportHealthCoverage>
    <AdrcClientReportDisenrollmentReason>
      <DisenrollmentReasonId>6</DisenrollmentReasonId>
      <DisenrollmentReasonId>4</DisenrollmentReasonId>
    </AdrcClientReportDisenrollmentReason>
    <AdrcClientReportMedication>
      <Medication>
        <MedicationId>12345-1234-12</MedicationId>
        <MedicationStartDate>10,15,2014</MedicationStartDate>
        <MedicationCost>221</MedicationCost>
        <MedicationDays>6</MedicationDays>
      </Medication>
      <Medication>
        <MedicationId>12346-1234-12</MedicationId>
```

```

    <MedicationStartDate>10,15,2014</MedicationStartDate>
    <MedicationCost>994</MedicationCost>
    <MedicationDays>6</MedicationDays>
  </Medication>
  <Medication>
    <MedicationId>12347-1234-12</MedicationId>
    <MedicationStartDate>10,15,2014</MedicationStartDate>
    <MedicationCost>999</MedicationCost>
    <MedicationDays>6</MedicationDays>
  </Medication>
</AdrClientReportMedication>
<AdrClientReportCd4Test>
  <Cd4Test>
    <Cd4TestDate>11,11,2010</Cd4TestDate>
    <Cd4Count>4000</Cd4Count>
  </Cd4Test>
  <Cd4Test>
    <Cd4TestDate>11,15,2010</Cd4TestDate>
    <Cd4Count>4500</Cd4Count>
  </Cd4Test>
</AdrClientReportCd4Test>
<AdrClientReportViralLoadTest>
  <ViralLoadTest>
    <ViralLoadTestDate>11,11,2010</ViralLoadTestDate>
    <ViralLoadCount>4000</ViralLoadCount>
  </ViralLoadTest>
  <ViralLoadTest>
    <ViralLoadTestDate>11,15,2010</ViralLoadTestDate>
    <ViralLoadCount>4500</ViralLoadCount>
  </ViralLoadTest>
</AdrClientReportViralLoadTest>
<AdrInsuranceAssistanceReceived>
  <InsuranceAssistanceTypeId>3</InsuranceAssistanceTypeId>
  <InsuranceAssistanceTypeId>4</InsuranceAssistanceTypeId>
</AdrInsuranceAssistanceReceived>
</AdrClientReport>
</CLD:ROOT>

```


Appendix A: Acronyms

ADAP	AIDS Drug Assistance Program
ADR	ADAP Data Report
AIDS	Acquired ImmunoDeficiency Syndrome
eUCI	Encrypted Unique Client Identifier
HAB	HIV/AIDS Bureau
HIV	Human Immunodeficiency Virus
HRSA	Health Resources and Services Administration
UCI	Unique Client Identifier
XML	Extensible Markup Language
XMLNS	XML Namespace
XSD	XML Schema Definition
XSI	XML Schema Instance